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G46VRIVA UNITED STATES COURT OF APPEALS FOR THE SECOND CIRCUIT 2 3 HECTOR RIVAS, 4 Petitioner-Appellant, 5 v. 13-2974-pr 6 BRIAN FISCHER, Superintendent, Sing Sing Correctional Facility, Respondent-Appellee, SHOW-CAUSE HEARING 8 9 10 New York, N.Y. April 6, 2016 11 3:05 p.m. 12 13 Before: 14 HON. JOSÉ A. CABRANES, Presiding, 15 HON. ROSEMARY S. POOLER, HON. ROBERT D. SACK, 16 Circuit Judges 17 18 APPEARANCES 19 LANGONE & ASSOCIATES Attorneys for Petitioner-Appellant BY: RICHARD M. LANGONE 20 21 NEW YORK STATE ATTORNEY GENERAL Attorneys for Respondent-Appellee 22 BY: PRISCILLA I. STEWARD, AAG 23 ALSO PRESENT: SIDNEY L. MANES, ESQ. EDWARD W. KLEIN, ESQ. 24 CASEY JOHNSON, ESQ. KIMBERLY M. ZIMMER, ESQ. 25 ROBERT E. MORAN, ADA, Onondaga County

- 1 JUDGE CABRANES: This is Rivas v. Brian Fischer,
- 2 Superintendent, Sing Sing Correctional Facility, No.
- 3 13-2974-pr.
- 4 May we have the appearances of counsel stated for the
- 5 record. I know that each of you has signed in with the clerk,
- 6 but I'd like to have the appearances stated for the benefit of
- 7 the panel so we know exactly who's who, beginning on my left.
- 8 MR. MORAN: Robert Moran, assistant district attorney.
- 9 MS. STEWARD: Priscilla Steward from the New York
- 10 State Attorney General's office.
- 11 MR. LANGONE: Richard Langone.
- MR. MANES: Sidney Manes.
- MR. JOHNSON: Casey Johnson.
- MR. KLEIN: Edward Klein.
- 15 MR. LANGONE: Your Honor, that's Kim Zimmer, who
- 16 assisted earlier on, and she's here.
- JUDGE CABRANES: She's your assistant?
- 18 MR. LANGONE: She's assisting Mr. Klein. She was
- 19 recently appointed by the state court to do the motion practice
- in the retrial.
- JUDGE CABRANES: I see.
- 22 MR. LANGONE: She was involved in the remand on the
- 23 district court, the previous remand before this Court. She's
- 24 admitted before this Court.
- JUDGE CABRANES: Thank you.

1 The appearances having been stated, I ask you to bear

- 2 with me while I make a brief introductory statement to provide
- 3 an overview of the relevant procedural history which will
- 4 substantially inform our discussion today.
- 5 As the parties are well aware, the most recent round
- 6 of proceedings in this complicated case relate to the opinion
- and order that we issued on March 11, 2015, in which we
- 8 reversed the judgment of the district court and remanded the
- 9 cause.
- 10 We held, and I quote, that on remand, the district
- 11 court should issue a writ of habeas corpus to Mr. Rivas on the
- 12 60th calendar day after the issuance of our mandate, that is,
- 13 May 10, 2015, "unless New York State had by that time taken
- 14 concrete and substantial steps expeditiously to retry
- 15 Mr. Rivas."
- 16 We also held that: "If further proceedings arising
- 17 from Mr. Rivas's habeas petition were required in this Court,
- 18 jurisdiction would be automatically restored without need for a
- 19 new notice of appeal. After jurisdiction was restored, the
- 20 matter would be heard by this panel on letter briefs."
- 21 Approximately six months after our mandate issued, on
- 22 September 8, 2015, Mr. Langone filed a motion to be relieved as
- 23 counsel for Mr. Rivas and to permit Mr. Rivas to proceed pro
- 24 se. That day or the next day, on September 9, 2015, Mr. Manes
- 25 submitted a pro se letter brief addressed to us that Mr. Rivas

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- 1 had sent to him. In that letter brief, among other arguments,
- 2 Mr. Rivas claimed that the state trial court had not taken
- 3 concrete and substantial steps expeditiously to retry him.
- 4 Mr. Rivas requested that we recall our mandate and issue an
- 5 unconditional writ of habeas corpus.
- 6 On September 11, 2015, we issued an order in which we
- 7 stated that, quote, before ruling on Mr. Langone's motion, we
- 8 would hold a conference on September 24, 2015, at which the
- 9 status of this case would be discussed. We also requested that
- 10 Ms. Steward file in advance of that conference "a letter brief
- 11 detailing the concrete and substantial steps that New York
- 12 State had taken expeditiously to retry Mr. Rivas."
- We held the conference as scheduled on September 24,
- 14 2015. Mr. Langone, Ms. Steward, and Mr. Manes appeared.
- 15 Approximately two weeks later, on October 5, 2015, we issued an
- 16 order requesting further briefing on issues, including what
- 17 concrete and substantial steps New York State had taken
- 18 expeditiously to retry Mr. Rivas in accordance with our March
- 19 11, 2015, mandate, whether we have the power to modify
- 20 Mr. Rivas's bail and, if so, whether we should.
- 21 Following the parties' submission of their responsive
- 22 briefing, on December 23, 2015, we ordered that, and I quote,
- 23 Unless the State of New York had commenced retrial of Mr. Rivas
- on or before February 1, 2016, Mr. Fisher would be required --
- 25 that is, the respondent would be required to show cause why

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- 1 this Court should not enter an order -- should not order
- 2 Mr. Rivas's release from custody pending resolution of state
- 3 proceedings. Unquote.
- 4 On January 4, 2016, we received a letter from
- 5 Mr. Langone who stated that he did not, quote, know if the
- 6 state was actually ready to retry Mr. Rivas, but Mr. Klein was
- 7 not ready, and he still had not filed motions, and had another
- 8 trial scheduled to begin the end of January 2016. Mr. Klein
- 9 told Mr. Langone that Mr. Rivas's case was on the trial
- 10 calendar for the end of March 2016. Unquote.
- 11 We received another letter brief from Mr. Langone on
- 12 January 8, 2016. He informed us that: "As a result of this
- 13 Court's order to show cause, a hearing was held on January 5,
- 14 2016, in county court, Onondaga County, to determine the
- parties' readiness to retry Mr. Rivas by February 1, 2016.
- 16 "To begin with, Mr. Rivas indicated that he wants
- 17 Mr. Klein to remain on the case. Mr. Klein stated on the
- 18 record that he would not be ready to try the case until the end
- 19 of May 2016."
- 20 Mr. Langone also argued in his letter brief that,
- 21 quote, we should recall the mandate and order Mr. Rivas
- 22 released from confinement pending the retrial.
- The saga continues.
- 24 On January 22, 2016, Mr. Langone filed yet another
- 25 letter brief in which he stated that: "The district attorney

- 1 just last week turned over to the defense potential Brady
- 2 material concerning a possible other suspect in the murder of
- 3 Valerie Hill." In light of this disclosure, he, quote, once
- 4 again asked this Court to recall the mandate and order
- 5 Mr. Rivas released pending the retrial. Unquote.
- 6 On March 8, 2016, about a month ago, we received a
- 7 letter brief from Mr. Manes. Mr. Manes pointed out that the
- 8 date we had specified in our December 23, 2015 order to show
- 9 cause, that is, February 1, 2016, had come and gone, but
- 10 Mr. Rivas had still not been retried.
- 11 On March 16, 2016, we, quote, ordered that Mr. Fischer
- 12 show cause why the Court should not, one, order Mr. Rivas's
- 13 release from custody pending resolution of state proceedings;
- or, two, order Mr. Rivas's release from custody and bar his
- 15 retrial. Unquote.
- 16 We also scheduled a show-cause hearing for today,
- 17 which is, of course, why we are all here. We requested the
- 18 presence of Ms. Steward, Mr. Moran, Mr. Langone, Mr. Manes, and
- 19 Mr. Klein at the hearing and briefing from the parties in
- 20 advance thereof.
- 21 Finally, we come to the last order that we issued to
- 22 date: On March 31, 2016, we notified all counsel who would be
- 23 appearing at the April 6 hearing that they should consider in
- 24 advance thereof what conditions an order releasing Mr. Rivas
- 25 from custody pending resolution of state proceedings should

- 1 impose, including housing and/or geographical limitations, and
- 2 how Mr. Rivas's compliance with such conditions would be
- 3 monitored and enforced. Unquote.
- 4 We also noted that: "All counsel should be prepared
- 5 to answer questions regarding these issues during the hearing."
- 6 So I thank all of you for hearing me out on this, but
- 7 I think context is crucial and the record should be entirely
- 8 clear.
- 9 Moving on to our discussion, I'd like to begin by
- 10 asking Mr. Moran a few questions. I know that my colleagues
- 11 will also have questions for Mr. Moran, as well as for the
- 12 other counsel who have appeared today. I don't wish to suggest
- 13 that my questions in any way preclude questions that they will
- 14 ask as soon as I'm finished.
- So Mr. Moran --
- MR. MORAN: That's me.
- JUDGE CABRANES: That's you.
- 18 Let's start with the questions that our most recent
- 19 order portended.
- 20 If we were to order Mr. Rivas's release from custody
- 21 pending resolution of the state proceedings, what is your view
- 22 with respect to the conditions that we should impose and how
- those conditions would be monitored and enforced?
- 24 MR. MORAN: Judge, I don't know who you intend to have
- 25 monitor him, I don't know how that's going to be accomplished

- 1 locally in Onondaga County. When people are released through
- 2 what we call pretrial release --
- 3 JUDGE CABRANES: Why don't you move up to the
- 4 microphone.
- 5 MR. MORAN: -- the probation department would monitor
- 6 someone and set up conditions that the county court judge might
- 7 impose on him or her. But I simply don't know how this Court
- 8 is going to force Onondaga County Probation to do that. They
- 9 do it in other cases locally. I've never seen it done in our
- 10 case, but it can be done.
- 11 JUDGE CABRANES: Tell me what the problem is in having
- 12 this done in the state court.
- MR. MORAN: I'm at a loss --
- 14 JUDGE CABRANES: To put it another way, which comes to
- another question in a way, why exactly is a \$200,000 bond
- 16 required in a case like this? Originally, the state court,
- 17 after our initial decision, began with a \$1 million bond. And
- 18 we know that there is a constitutional right in this country to
- 19 bond or to bail in appropriate circumstances. Was there a
- finding made that he was a danger to the community?
- 21 MR. MORAN: Danger to the community isn't relevant for
- 22 bail considerations in state court.
- JUDGE CABRANES: Really?
- MR. MORAN: It's only flight risk and --
- 25 JUDGE CABRANES: What is the risk of flight, was there

- 1 a finding on that?
- 2 MR. MORAN: There was no hearing. I made a
- 3 representation to the court about the strength of our case; the
- 4 court considered that argument, along with the --
- 5 JUDGE CABRANES: Did you make an argument on the basis
- 6 that there was little or no risk of flight?
- 7 MR. MORAN: I did not make an argument about risk of
- 8 flight, that there was little or none. I said there was a risk
- 9 of flight based on the proof in the case.
- 10 JUDGE CABRANES: That there was no risk of flight?
- 11 MR. MORAN: No, I said there was a risk of flight on a
- 12 level of proof, on the fact that if he's --
- JUDGE CABRANES: Who were you representing?
- MR. MORAN: People of the State of New York.
- JUDGE CABRANES: Okay. Tell me more.
- MR. MORAN: About the risk of flight?
- 17 JUDGE CABRANES: Yes.
- 18 MR. MORAN: Representations I made to Judge Miller
- 19 were about the strength of the case; and that based on the
- 20 strength of the case, I said Mr. Rivas was a flight risk based
- 21 on the strength of the case. I laid out the entire case for
- Judge Miller in making my bail application.
- 23 Another factor the judge considers usually is ties to
- the local community, of which Mr. Rivas has none. And then
- 25 based on that, Judge Miller makes a decision. He sets the

- 1 bail, not me.
- 2 JUDGE CABRANES: Now, at one of our previous hearings
- 3 Ms. Steward was unable to detail with as much specificity as we
- 4 might have liked what concrete and substantial steps the state
- 5 had taken in the 60 days following the issuance of our March
- 6 11, 2015 mandate, quote, expeditiously to retry Mr. Rivas.
- 7 Would you walk us through those steps, being as
- 8 specific as possible. What is it that you've done to take
- 9 concrete and substantial steps to retry Rivas other than to
- 10 announce that you're "ready for trial"?
- 11 MR. MORAN: I've done everything I can to
- 12 expeditiously retry Mr. Rivas. Every adjournment has been at
- 13 his request. We brought him back to Onondaga County; his
- 14 conviction was vacated; a motion schedule was set; an attorney
- 15 was assigned; and a trial date was set. Since that time, that
- date keeps getting moved not by me, by them.
- 17 JUDGE CABRANES: So you are, in fact, ready to go to
- 18 trial?
- MR. MORAN: I have been since Day One.
- 20 JUDGE CABRANES: So we'll have to speak to Mr. Rivas's
- 21 counsel, appointed counsel.
- 22 What do you say to that?
- MR. KLEIN: Well, first of all, in the first 60 days,
- 24 other than their announcement of readiness, nothing took place.
- JUDGE CABRANES: Why is that?

- 1 MR. KLEIN: Other than at the first appearance -- I
- 2 think I was assigned a month later; I think I was assigned in
- 3 May, first of all.
- 4 We were handed 370-something pages of police reports.
- 5 No criminal defense attorney who was competent had ever looked
- 6 through those. They were not part of the record on appeal, so
- 7 it's not a slight of the appeals attorneys or the courts that
- 8 reviewed those matters, but it was clear from that there was a
- 9 lot of work that had to be done.
- 10 JUDGE POOLER: Were these police reports, records that
- 11 had been turned over to the trial counsel below?
- MR. KLEIN: They would have been.
- 13 JUDGE POOLER: What does that mean? They would have
- 14 been, but they weren't?
- 15 MR. KLEIN: They should have been, let's put it that
- 16 way. We don't know what was turned over.
- 17 JUDGE SACK: You're not saying it wasn't; you're just
- 18 saying you don't know -- it should have been, but you don't
- 19 know.
- 20 MR. KLEIN: He's unavailable and his records are
- 21 unavailable.
- 22 JUDGE CABRANES: Mr. Manes has a view on this, I
- 23 think.
- 24 MR. MANES: I'm available. I can tell you, those
- 25 documents were foiled by me and we had them for quite a period

- 1 of time. There was nothing new, Judge Cabranes, in those
- 2 documents. I just merely took them and gave them to Mr. Klein.
- 3 We had seen them before. This was nothing new; this was
- 4 nothing concrete or substantial, I can assure this Court.
- 5 JUDGE POOLER: Were they part of the record below?
- 6 MR. MANES: Absolutely. Absolutely, Judge Pooler,
- 7 without question we had been through these documents.
- 8 JUDGE CABRANES: These documents are part of the state
- 9 court proceedings?
- 10 MR. MANES: Yes, in the original.
- 11 JUDGE CABRANES: Were they also before the district
- 12 court?
- 13 MR. MANES: They were all part of the record, that I'm
- 14 sure.
- 15 JUDGE CABRANES: They were part of the record.
- MR. MANES: Yes.
- 17 MR. KLEIN: They were all part of the 440 motion.
- 18 MR. MANES: They were part of the 440 motion as well.
- 19 MR. LANGONE: I think what really goes on here is what
- 20 happens is as the case goes forward, Mr. Klein -- he can speak
- 21 to this better than I -- was receiving additional information.
- 22 When he went through this material that was previously
- 23 available to Mr. Calle, who was the trial attorney at the time,
- there was information there that was never worked up. For
- 25 instance, there was supposedly a marijuana pipe or two that

- 1 were found at the scene that were never turned over to the
- defense; or if they were, they were never inspected or
- 3 investigated or examined by the defense counsel.
- 4 JUDGE POOLER: Now they're gone?
- 5 MR. LANGONE: I'm not going to speak to that, your
- 6 Honor.
- 7 MR. MORAN: They are not, Judge. If I may interject,
- 8 I apologize if that's out of order, but they're at the lab --
- 9 JUDGE CABRANES: That's all right.
- 10 JUDGE SACK: They are at the lab and being tested
- 11 right now?
- MR. MORAN: Yes.
- 13 MR. LANGONE: These were not tested initially.
- 14 JUDGE SACK: I understand.
- 15 MR. LANGONE: There's some evidence in the record that
- 16 Mr. Rivas never smoked marijuana or wasn't a marijuana smoker.
- 17 So, in fact, that these pipes were at the crime scene is
- 18 somewhat --
- 19 JUDGE POOLER: They were never tested?
- 20 MR. MORAN: Mr. Rivas's fingerprints are on the film
- 21 canister that had marijuana in it. These two marijuana pipes
- 22 and two film canisters, the type that 35-millimeter film would
- 23 have been in back in the day, one of which had marijuana in it,
- 24 were found about five feet or so from the body on a side table
- 25 next to the couch. Mr. Rivas's fingerprints are on them.

- 1 JUDGE SACK: Are you permitted to say what they are
- being tested for?
- 3 MR. MORAN: Latent prints have been done. I think
- 4 they are also looking for some DNA on the marijuana pipes
- 5 themselves.
- 6 JUDGE POOLER: Were they introduced in the trial
- 7 below?
- 8 MR. MORAN: I don't believe that they were.
- 9 MR. LANGONE: I never heard of this before. This is
- 10 not in the record anywhere.
- 11 MR. MORAN: Because he's not the trial counsel in
- 12 Onondaga County Court.
- 13 MR. KLEIN: Well, I am, and I first learned of this
- 14 fingerprint on the film canister two weeks ago.
- 15 Pardon?
- 16 JUDGE CABRANES: Hold on a second. Let him finish.
- 17 MR. KLEIN: From Mr. Moran. And last Saturday I
- 18 learned that -- this past Saturday, three days ago, learned
- 19 that they have identified 100 -- through new technologies and
- 20 different technicians doing it, 100 unidentified latent prints
- 21 from the scene. This is this past Saturday, which is past the
- 22 December trial date, the February trial date; we're now into
- 23 April.
- 24 And the context of that --
- 25 JUDGE CABRANES: Hold on one moment right there,

- 1 Mr. Klein. Can I turn to Mr. Moran.
- 2 How can you possibly claim that you were ready from
- 3 Day One to go to trial when this stuff is surfacing now?
- 4 MR. MORAN: Because I was ready to call witnesses; I
- 5 was ready to pick a jury; I was ready to stand up and make my
- 6 case. That the forensic testing wasn't 100 percent complete
- 7 doesn't mean I wasn't ready for trial.
- 8 JUDGE POOLER: What does that mean? You were going to
- 9 go to trial without any forensic evidence?
- 10 MR. MORAN: If I had to, I would.
- 11 JUDGE POOLER: Because you didn't care if you won or
- 12 lost?
- MR. MORAN: That's not true at all, no.
- JUDGE POOLER: Then tell me how you could be prepared
- if you were still testing evidence.
- 16 MR. MORAN: This case comes back in March. We have
- 17 the first appearance; we have a December trial date. I meet
- 18 with the people from the lab, I think it was in May, when I
- 19 sort of scheduled things and start talking about what's going
- 20 to get tested. I bring the evidence up there and we review it
- 21 and say, This is worth looking at; this is not worth looking
- 22 at. Those decisions are made. They then start their work
- 23 looking at those things.
- 24 JUDGE SACK: If you know, why does it take so long
- 25 to --

- 1 MR. MORAN: Part of it, your Honor, is that the trial
- 2 date keeps getting pushed back.
- 3 JUDGE SACK: No, no, no. Why is it so hard to
- 4 accomplish the testing that's required for this case?
- 5 MR. MORAN: I'm not sure I understand the question,
- 6 but maybe I can answer --
- 7 JUDGE SACK: What I'm saying is we're now in April.
- 8 The pipes have been around for -- I can't subtract that well.
- 9 MR. MORAN: I understand.
- 10 JUDGE SACK: The question is why is it still being
- 11 worked on now and why did it take so long?
- 12 MR. MORAN: Because, if I may, every time the trial
- 13 date gets moved out, other things get put in line in front of
- 14 it. They are extremely busy and overlooked; they have a lot of
- 15 cases to look at.
- 16 JUDGE CABRANES: So other cases jump the queue? I
- don't quite understand. I mean they get it; they should be
- 18 working on it pretty soon after they get it, right?
- MR. MORAN: Indeed they are, yes.
- 20 JUDGE CABRANES: So what's the relevance of other
- 21 cases?
- 22 MR. MORAN: The relevance is once the case moves from
- 23 December to March and March to June, other things get in front
- 24 of it.
- 25 JUDGE CABRANES: They just decide to put it aside

- 1 because we don't have to do it right now.
- 2 MR. MORAN: Because other trial cases have to get
- 3 done.
- 4 JUDGE CABRANES: Even though you've claimed much
- 5 earlier and continue to claim that you're ready to go to trial.
- 6 MR. MORAN: Which I am.
- 7 JUDGE CABRANES: This is some sort of theoretical
- 8 concept, I gather, going to trial.
- 9 MR. MORAN: If we had had this trial in December, the
- 10 latents would have been done in December. But every time it
- 11 gets moved out, they have other work they have to get done,
- 12 there's three months worth of work they have to do between
- 13 December and March.
- 14 JUDGE POOLER: And none of this was done because it's
- 15 new testing for the first trial? None of this was done before
- 16 the first trial?
- 17 MR. MORAN: DNA technology wasn't available, I don't
- 18 believe, Judge.
- 19 JUDGE POOLER: What about fingerprint technology?
- 20 MR. MORAN: -- they did look at. There was a
- 21 different system then. The Syracuse Police Department took
- 22 more control over what testing was done and how it was done and
- 23 whom it was done by. We now have a different county
- 24 department, the Center for Forensic Sciences. Those are the
- 25 folks that are looking at it now, not people from the Syracuse

- 1 Police Department.
- JUDGE CABRANES: We interrupted Mr. Klein.
- 3 MR. KLEIN: It was a good interruption.
- 4 There are over 100 -- I learned this this past
- 5 Saturday. There are 100 or more unidentified latent prints.
- 6 To a defense attorney in a case where you're pursuing alternate
- 7 suspects, those have to be pursued; those have to be -- you
- 8 have, as a defense attorney, to not just leave them hanging.
- 9 Also this I learned from Mr. Moran on the same phone
- 10 call on Saturday, that they had misplaced, lost, somehow over
- 11 the intervening years Ms. Hill's postmortem latents, which are
- 12 the only fingerprints they have. They don't have her latent
- 13 prints, so they can't -- of these 100 unidentified latent
- 14 prints from the scene, they can't use hers as elimination.
- 15 If we go to trial -- it's interesting that Mr. Moran
- 16 talks about when the trial is pushed out. It's pushed out at
- 17 my request because we can't be ready from a defense standpoint
- 18 with questions unresolved, with forensic issues hanging.
- 19 JUDGE CABRANES: That is until Mr. Moran's colleagues
- 20 have finished their work.
- 21 MR. KLEIN: Right.
- 22 JUDGE CABRANES: Work which continues to be postponed.
- 23 MR. KLEIN: It's a catch-22, which I learned today in
- 24 talking with Mr. Moran, that every time the trial is put out,
- 25 they put those aside only to return to them when there's a

- 1 trial date, and then I don't have enough time to get done what
- 2 I need to get done.
- JUDGE SACK: I think that's the perfect catch-22.
- 4 MR. KLEIN: It is. Thank you.
- 5 JUDGE CABRANES: Mr. Manes, do you have a view on
- 6 that?
- 7 MR. MANES: Well, your Honor --
- JUDGE CABRANES: Take the microphone.
- 9 MR. MANES: It's so difficult to listen to Mr. Moran,
- 10 with all due respect. In the meantime, Hector Rivas sits in
- jail on a 30-year-old case. He has served 24 years, your
- 12 Honor, 24 years of a 25-year minimum sentence.
- 13 Three years ago or so, Judge Cabranes, your decision
- 14 was spectacular, indicated and gave him a breadth of absolute
- 15 innocence -- or actual innocence, excuse me. Yet he still sits
- in jail. He's terribly ill; he has no place to run; he'll go
- 17 home to his family, while Mr. Moran and Mr. Klein fight it out.
- JUDGE CABRANES: Where is his family?
- 19 MR. MANES: In the Bronx, your Honor. His wife is
- 20 here; they have a home in the Bronx, easily for him to go home.
- 21 He's ill. He can't hide, your Honor. There's no place to run.
- JUDGE CABRANES: Let me ask Mr. Klein first, have you
- done anything to secure a modification of bail conditions so
- that he can be released pending trial?
- 25 MR. KLEIN: Judge Miller has made it very clear he's

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- 1 not -- first of all, the people, the district attorney, asked
- 2 for a million dollars bail.

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- JUDGE CABRANES: Yes, I know.
- 4 MR. KLEIN: Judge Miller set it at 500,000. I was not
- 5 involved that day; I was assigned at the end of that or during
- 6 that court appearance, but I didn't argue the bail. I
- 7 immediately went to an appellate division judge in Syracuse
- 8 who, after discussions, reduced it to 200,000 cash or bond and
- 9 that's where we've been. He's unable to make that bail.
- 10 Judge Miller has made it clear that his response to
- 11 our wanting Hector out is to say we have a trial date. You
- 12 have a trial date; I've given you an early trial date. That
- doesn't serve my purposes as his counsel to try to do an
- 14 effective job in this incredibly factually complex case and it
- 15 also doesn't get him out. And that's, I think, why we're here.
- JUDGE POOLER: Mr. Moran, you're ready for trial now
- 17 as you sit there?
- 18 MR. MORAN: Yes.
- 19 JUDGE POOLER: Can you tell me without revealing any
- 20 trial strategy how you plan to establish Ms. Hill's time of
- 21 death?
- 22 MR. MORAN: I plan to call the medical examiner,
- 23 Dr. Robert Stoppacher, from Onondaga County.
- 24 JUDGE POOLER: The present medical examiner --
- MR. MORAN: Yes.

21 G46VRIVA 1 JUDGE POOLER: -- who was not there at the time. 2 MR. MORAN: Correct. 3 JUDGE CABRANES: How do you spell his name for the 4 record? 5 MR. MORAN: S-T-O-P-P-A-C-H-E-R. 6 JUDGE POOLER: He's going to do that based on the 7 records of the medical examiner at the time, Dr. Eric Mitchell? 8 MR. MORAN: Yes. 9 JUDGE POOLER: Of course, we know -- because we've 10 discussed it before -- that the alleged slides didn't exist; 11 correct? MR. MORAN: We do know that, yes. 12 13 JUDGE POOLER: We do know that? 14 MR. MORAN: Yes. 15 JUDGE POOLER: So it's going to be the medical 16 examiner interpreting the notes of the previous medical 17 examiner --18 MR. MORAN: Yes. 19 JUDGE POOLER: -- whose integrity was called into 20 question in our decision? 21 MR. MORAN: I suppose. 22 JUDGE POOLER: Well, we thought that the fact that he 23 was being investigated by the district attorney at the very 24 time that he changed his testimony was at least interesting and 25 perhaps a badge of fraud.

- 1 MR. MORAN: He didn't change his testimony. 2 JUDGE POOLER: He never changed his testimony? 3 MR. MORAN: He never changed his testimony. 4 JUDGE POOLER: I think you're wrong about that, but 5 elaborate on that. 6 MR. MORAN: He never said that she died on Saturday. 7 That was reported in the newspaper. It was part of an 8 affidavit that was submitted as part of a search warrant, that 9 affidavit came from a police officer. I think it was just misconstrued, frankly, and I think that happens --10 JUDGE CABRANES: Misconstrued by whom? 11 12 MR. MORAN: By the police officer. JUDGE CABRANES: What about our opinion? Was there 13 14 anything factually inaccurate about what we described? 15 MR. MORAN: I thought your opinion said that you never 16 really called into question Dr. Stoppacher -- or, excuse me, Dr. Mitchell's integrity about opinions and autopsies that he 17 had performed; but that the questions were about handling of 18 19 body parts and those kinds of things. It wasn't really about 20 his job, but how he handled other things.
- JUDGE POOLER: Although he was being investigated by
 the district attorney who was trying this case at the same time
 as he was testifying; isn't that correct? Weren't they
 contemporaneous events?
- 25 MR. MORAN: I honestly don't know, but I've heard it.

1	JUDGE CABRANES: You've heard it and you've read it.
2	MR. MORAN: Yeah, and I've read it.
3	JUDGE CABRANES: Do you have any reason to doubt the
4	accuracy of that? I don't think Ms. Steward
5	-
5	MR. MORAN: I don't know the time
6	MS. STEWARD: Actually, my recollection is that the
7	people had represented that that investigation did not begin
8	until after the trial was over. And actually there was a
9	report that Dr. Mitchell had prepared the day that the body was
10	found. In the report it stated that it appeared the body had
11	been there for two to three days. And given that the body was
12	found on Monday, three days before that is Friday.
13	We had always maintained in our papers and in our oral
14	argument that from the time that the body was found, that
15	Dr. Mitchell discovered the body, that he had always said that
16	the body had been there at least well, at most since Friday.
17	That does not appear in your opinion, but it is in the record.
18	MR. LANGONE: Judge
19	JUDGE POOLER: Can we hear anything in response?
20	MR. LANGONE: Absolutely.
21	First of all, let's keep in mind that Mr. Rivas is not

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arrested in this case. In fact, it was two weeks after he was

indicted until almost five years after this death, this murder,

okay. He was a suspect early on because he was the boyfriend.

We know the investigation began way before Hector was ever

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- 1 convicted, Mitchell was let off the hook. He was allowed to
- 2 plead out and avoid the --
- JUDGE POOLER: He left his job.
- 4 MR. LANGONE: And he left his job.
- 5 JUDGE POOLER: So was it going on contemporaneously?
- 6 MR. LANGONE: Contemporaneously.
- 7 There was an investigation with the DEC.
- JUDGE POOLER: This is a factual issue.
- 9 MS. STEWARD: He was not being investigated by the
- 10 DA's office. That investigation with the DA's office did not
- 11 begin until four months after the trial was over.
- 12 JUDGE CABRANES: Let's just state the obvious: In our
- 13 opinion we set forth with as much care as possible what we
- 14 thought to be the facts. And I'm not aware -- maybe my
- 15 colleagues are aware, I'm not aware of any effort by anyone in
- 16 the state to correct any misapprehension by us. I recall no
- 17 such -- it may be that Ms. Steward had a different view of the
- 18 facts, but whatever that view may be was never conveyed to us
- in the aftermath of the decision.
- 20 JUDGE POOLER: And before the DA started
- 21 investigating -- I know this because I live in Onondaga
- 22 County -- there were stories in the newspaper about his
- 23 integrity as a coroner. And that was going on for a while
- 24 before the DA began his investigation. He was under attack by
- 25 his former employees in the office.

- 1 MS. STEWARD: That's right. That was, I believe, in
- 2 1992/1993. But they were not related to his -- the way he did
- 3 his job, and they weren't related to the way he did his job in
- 4 this case.
- 5 JUDGE POOLER: Allegedly.
- 6 MR. MORAN: If I may, Judge.
- 7 MR. MANES: If you'll forgive me, your Honor, she
- 8 indicates that the DA wasn't investigating, that may be true.
- 9 The Department of Environmental Conservation has investigated
- 10 Dr. Mitchell for 144 misdemeanors: For disposing of body
- 11 parts, for pouring material blood down the sink. So the people
- 12 who would have prosecuted Dr. Mitchell was not the DEC, it
- 13 would have been the district attorney.
- JUDGE POOLER: He knew about the DEC to the best of
- 15 our knowledge?
- MS. STEWARD: We have no evidence of that.
- JUDGE POOLER: There's no evidence that he knew the
- 18 DEC was investigating?
- 19 MR. LANGONE: He said to the press, He was my friend
- 20 for 20 years and he's resigning and he's leaving. That's
- 21 exactly why he wasn't prosecuted, because he agreed to resign.
- 22 MS. STEWARD: That's not evidence that he knew about
- 23 the DEC investigation.
- JUDGE CABRANES: Hold on.
- 25 We understand that there are differences of

- 1 perspective here, but given this colloquy, Mr. Moran, do you
- 2 have anything to add?
- 3 MR. MORAN: I'm not calling Dr. Mitchell; I'm calling
- 4 Dr. Stoppacher. Stoppacher reviewed the --
- 5 JUDGE POOLER: But it's based on Dr. Mitchell's notes.
- 6 MR. MORAN: Yes. It's not that unusual.
- 7 JUDGE CABRANES: His testimony will consist of an
- 8 evaluation of the notes 20-plus years ago?
- 9 MR. MORAN: Yes. And the temperature that was at the
- 10 house, and the condition that the body was in, and then he'll
- 11 estimate the time of death.
- 12 JUDGE POOLER: Will we also consider Dr. Wecht's
- 13 testimony?
- 14 MR. MORAN: I haven't. I plan on calling
- 15 Dr. Stoppacher. Dr. Stoppacher has looked at --
- 16 JUDGE POOLER: I understand. But Dr. Wecht testified
- 17 at a hearing later and he contradicted Dr. Mitchell. I'm
- 18 wondering if the medical examiner would be able to respond to
- 19 that testimony as well.
- 20 MR. MORAN: I'm sure that he will. I look forward to
- 21 crossing Dr. Wecht.
- 22 JUDGE CABRANES: Mr. Langone, you had something to say
- 23 on this?
- MR. LANGONE: It just slipped my mind, Judge. It will
- 25 come back to me.

- JUDGE CABRANES: It's all right.
- 2 MR. MORAN: If I may.
- JUDGE CABRANES: Please.
- 4 MR. MORAN: What I was going to continue with is so
- 5 I'm meeting with Dr. Stoppacher at the medical examiner's
- 6 office. He has had the opportunity to review the autopsy
- 7 performed by Dr. Mitchell and review the file that the medical
- 8 examiner has. So I'm meeting with him to talk about time of
- 9 death. He and I go through this discussion. We talk about the
- 10 onset of livor mortis and then how it comes out of livor
- 11 mortis. And he says to me based on her size, based on the
- 12 temperature, based on Dr. Mitchell's notes about coming out of
- 13 rigor mortis, he would estimate the time of death to be between
- 14 24 and 72 hours.
- 15 JUDGE POOLER: I don't think there's any evidence in
- 16 the record about the temperature of the room.
- 17 MR. MORAN: There is evidence in the record. I don't
- 18 know if it's in your record, but I have paperwork from the
- 19 evidence technician at the time documented the temperature at
- the apartment was between 62 and 64 degrees.
- JUDGE CABRANES: We're not here to adjudicate or
- 22 consider any of those matters. But since you've been ready for
- 23 trial forever, according to what you said, how long will it
- 24 take you to complete the -- assuming for the argument that
- 25 Mr. Klein is also ready for trial under the same theory that

- 1 you're ready for trial, how long will it take you to complete
- 2 all of that, all of those matters that you keep putting aside?
- 3 MR. MORAN: I don't put them aside. The lab doesn't
- 4 work for me.
- 5 JUDGE CABRANES: That they are put aside, as in
- 6 mistakes are made, they are put aside. How long will it take
- 7 to get it done?
- 8 MR. MORAN: I don't know how to answer that, Judge.
- 9 I'd like to be as honest as I can. If they said the trial is
- in a month, it will be done when the trial goes. If I call
- 11 them tomorrow and say, We're moving the trial date up, it's got
- 12 to get done, it will get done.
- 13 JUDGE POOLER: You heard Mr. Klein say he can't
- 14 prepare for the trial until he gets the results of your
- 15 forensic tests.
- 16 MR. MORAN: There are 100 unidentified prints because
- 17 we don't have her postmortem prints. I don't expect that to
- 18 change very much. If we don't find her prints, there's going
- 19 to be a number of unidentified --
- 20 JUDGE CABRANES: Doesn't it seem to you from this
- 21 colloquy that you are the key person here, your office; that
- 22 you can ask the other functionaries in the medical examiner's
- office or wherever to get this done by a date certain? Can you
- 24 do that?
- 25 MR. MORAN: I have, every time there's been a trial

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- date. And every time it moves, that changes.
- JUDGE CABRANES: Mr. Moran, I hope you'll excuse me
- 3 for saying this is like some sort of joke.
- 4 MR. MORAN: It's not.
- 5 JUDGE CABRANES: This is a catch-22 is a pleasant way
- 6 of putting it.

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- 7 MR. MORAN: I too have experienced that. Because
- 8 every time I'm in court in Onondaga County, I hear, We're not
- 9 ready; we can't rush; it's too soon.
- 10 JUDGE CABRANES: Well, they're waiting for you,
- 11 Mr. Moran --
- MR. MORAN: They are not.
- 13 JUDGE CABRANES: -- and the people who are reporting
- 14 to you.
- 15 How are you going to get those materials? You're not
- 16 going to do anything. You're going to sit there and, What, me
- 17 worry, Alfred E. Neuman style.
- 18 MR. MORAN: No. I'll call them today, Judge, if you
- 19 want; tell them it's got to be done.
- 20 JUDGE SACK: I think there's something -- there's a
- 21 hitch there that's missing. Because you say it has to be done
- 22 by trial; your adversaries are saying, No, no, it has to be
- done before trial because we're entitled to look at it and be
- 24 prepared to respond to it.
- 25 Are they right, are you right, or I'm just simply

30 G46VRIVA 1 wrong? 2 MR. MORAN: They are entitled to look at it before 3 trial. 4 JUDGE SACK: In that case it has to be ready before 5 trial. 6 MR. MORAN: It does. 7 JUDGE SACK: Saying it's going to be ready by trial 8 isn't helpful. It has to be ready enough before trial so they 9 can do what they do and then everybody is ready for trial. 10 JUDGE CABRANES: It's really circular, Mr. Moran. Are we helpful to you in this respect? 11 12 MR. MORAN: Sure. JUDGE CABRANES: How long will it take, Mr. Moran? 13 14 MR. MORAN: Judge, I'd have to talk to them, but I 15 don't know --JUDGE CABRANES: You didn't think to do that or to 16 check before you made the trip to New York? 17 MR. MORAN: I didn't. 18 19 MR. LANGONE: Your Honor, may I also say that from the first appearance we made in March, immediately after this 20 Court's order, they announced ready. Clearly a year later they 21 are still doing things. So those representations are hard to 22 23 grasp.

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the critical point. At this point, from what I'm hearing right

But I'm going to go right to what I think is really

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- 1 now, your Honor, with respect to preventing or precluding the
- 2 state from retrying Mr. Rivas, let me tell you what's going on
- 3 here, Judge.
- 4 The 25 years -- it's a 30-year-old case now. It's
- 5 almost impossible to recreate these events. We know that this
- 6 case -- the trial was predicated upon ineffective assistance of
- 7 counsel and really, really inappropriate behavior by a medical
- 8 examiner, to be kind. Okay? This was state-action driven. I
- 9 would say it was an outrageous governmental misconduct
- 10 situation.
- 11 They're saying that there's 100 some-odd latent
- 12 fingerprints -- critical in a murder case of circumstantial
- 13 evidence -- that now cannot be compared because the evidence is
- 14 lost. Her fingerprints, the known print, is not available to
- 15 compare these latents to, okay.
- 16 The medical examiner Mitchell's report was so flawed,
- 17 as Judge Pooler is intimating, was so suspect, that there's an
- 18 implicit Crawford issue with respect to allowing someone to
- 19 come and interpret now some findings that this medical examiner
- 20 made that are inherently untrustworthy. And the rules of
- 21 evidence and even the exceptions -- the business record
- 22 exception and all that, there's the exception for the lack of
- 23 indicia of reliability.
- 24 So the bases upon which the present medical examiner
- 25 is going to posit an opinion with respect to time of death is

- 1 going to be built on guicksand. The totality of all that's
- 2 gone on has prevented Mr. Rivas from obtaining a fair trial at
- 3 this point. The witnesses who are going to be unavailable,
- 4 whose testimony they would like to perpetuate based upon the
- 5 prior testimony, we would argue that because their testimony --
- 6 Mr. Rivas had globally ineffective assistance of counsel, that
- 7 it would be unfair, because even though he had a similar
- 8 opportunity and interest to cross-examine these people, the
- 9 constitutional right to effective representation was denied.
- 10 So to allow testimony of people that may not be available to
- 11 come in under prior sworn testimony is problematic.
- 12 JUDGE CABRANES: I don't mean to cut you off in any
- 13 way, but we're not here to review the merits of any of these
- 14 situations.
- JUDGE POOLER: We granted habeas.
- MR. LANGONE: Yes, I know.
- 17 JUDGE CABRANES: What really concerns us, I think, is
- 18 whether there are conditions that can be and should be
- 19 established to permit Mr. Rivas as a pretrial detainee, whose
- 20 prior conviction has been voided, to be free pending trial.
- 21 MR. LANGONE: With that statement then it's really
- 22 quite simple. This Court knows far better than I do he's been
- 23 in jail for 24 years on a 25-to-life sentence; he's an older
- 24 man; he just had five inches of his colon cut out. I've got
- 25 medical records here. I served a copy on Ms. Steward and I'd

33 G46VRIVA 1 like to serve a copy on the Court, if I might. 2 JUDGE CABRANES: If you could just give it to 3 Mr. Conde. 4 MR. LANGONE: Thank you. 5 Just indicating his dire condition -- didn't Patty 6 Duke just die yesterday of the same thing? 7 MR. MANES: Last week. 8 MR. LANGONE: Last week. 9 JUDGE CABRANES: Probably not. JUDGE SACK: It was a peculiar -- it's not worth --10 11 MR. LANGONE: Okay. You always have one on me, Judge. 12 But the point is that he's been very, very ill. He was a model prisoner. He was out on bail previously. He's got 13 14 no reason to run. He'd be eligible for parole release 15 consideration in one year. We know that the case -- the 16 evidence against him is paper thin. Let me tell you something else here, Judge. It's 17 really troubling to me, as an appellate practitioner, I see 18 this case as having a slew of important pretrial constitutional 19 20 issues that have to be decided. So the trial court judge, the state court judge at 21 22 this point is saying, Yeah, well, get ready, we're trying it 23 next month. Well, guess what? I think the pretrial motions in 24 25 this case for correct disposition of this matter is going to

- 1 take several months. I think that if they're both prepared,
- 2 the judge can't be ready to try this case. I don't know what
- 3 he's thinking, that he's going to try this case, he says, with
- 4 or without a jury -- I mean with or without counsel -- of
- 5 course he's playing -- in 30 days. It's an impossibility.
- 6 Going back to Hector's release. He's lived in the
- 7 Bronx his whole life; he lived upstate for some period of time;
- 8 his family is in the Bronx; he's married; they're all here;
- 9 they're great people.
- 10 JUDGE CABRANES: Where in the Bronx?
- 11 MR. LANGONE: Where in the Bronx? I don't know.
- 12 St. Ann's Avenue in the Bronx. That's his wife.
- 13 He's going to go home to his wife and his son. Where
- is he going? He's got nowhere to run. He doesn't even have
- 15 money to run.
- 16 JUDGE CABRANES: Let me ask Mr. Klein what he would
- 17 recommend to break through this Gordian Knot.
- 18 MR. KLEIN: Concerning release, I think if this Court
- 19 were to direct Judge Miller to release Hector to the pretrial
- 20 release program in Onondaga County, to supervise him at his
- 21 home residence with his wife in the Bronx, that Judge Miller
- 22 would do that and that the Onondaga County Probation Department
- 23 would honor that.
- 24 JUDGE SACK: As somebody who is unfamiliar with this
- 25 sort of practice, what is supervision in that case? Those of

- 1 us who get most of our knowledge of criminal law by reading the
- 2 daily newspapers think of a Bernie Madoff who was confined to
- 3 his home and had people watching over him.
- What do you mean when you say "home" and
- 5 "supervision"?
- 6 MR. KLEIN: I think the supervision from the pretrial
- 7 release program can be as simple as a weekly phone call where
- 8 he checks in and says, I'm still living at the same address;
- 9 I'm still doing the same thing.
- 10 JUDGE SACK: And if he doesn't make that call, we're
- 11 all in trouble.
- 12 MR. KLEIN: Pardon?
- 13 JUDGE SACK: If he doesn't make that call, we're all
- 14 in trouble.
- 15 MR. KLEIN: Right.
- 16 I don't want to commit them to electronic home
- 17 confinement because that has to be between counties and they'd
- 18 have to have an agreement. I'm sure the home and the setting
- 19 are --
- JUDGE SACK: Are you all right?
- MR. MANES: Yes, I'm fine, your Honor.
- 22 JUDGE POOLER: Counsel, what power do we have to order
- Judge Miller to release him? Where would it come from?
- 24 MR. KLEIN: I don't know what statutory power you have
- 25 under the federal habeas rules.

- 1 MR. LANGONE: Your Honor, I would submit that first
- 2 recalling the mandate would give you that power. I believe the
- 3 All Writs Act under 28 U.S.C. 1651 gives you the power to take
- 4 any steps necessary to effectuate the writ. I don't trust
- 5 anything about Onondaga County's judicial system at this point,
- 6 forgive me, Judge Pooler. But I think that the only way
- 7 Mr. Rivas is going to get any kind of pretrial protection is if
- 8 we have him under federal probation. Under the Writs Act I
- 9 think you can have him under federal probation supervision;
- 10 pretrial services could monitor him, keep him within the
- 11 Eastern District, Southern District, and Northern District.
- 12 JUDGE POOLER: So the first step is we recall the
- 13 mandate.
- MR. LANGONE: I think that would be required.
- 15 JUDGE POOLER: Which gives us jurisdiction to
- 16 effectuate our earlier decision.
- 17 MR. LANGONE: Correct.
- 18 JUDGE POOLER: And then if he was released, the county
- 19 could try him whenever.
- 20 MR. LANGONE: Yes. And I think that takes the
- 21 pressure off the state court judge.
- 22 I'm really worried about that. I really think he's
- 23 feeling like he's being pressured now and he's going to try to
- 24 run this case through. There is some really serious motion
- 25 practice that needs to be made here because I don't think

- 1 Mr. Rivas can get a fair trial anymore.
- 2 JUDGE POOLER: Mr. Klein, you haven't even done motion
- 3 practice yet, have you?
- 4 MR. KLEIN: I've had cooperation to the extent --
- 5 JUDGE POOLER: So you got all the discovery --
- 6 although you just got Brady material.
- 7 MR. KLEIN: There was already a motion to suppress
- 8 that was granted of statements and physical evidence, which is
- 9 law of the case as far as I'm concerned; that may be an issue
- 10 for Mr. Moran. There is a significant motion to dismiss based
- 11 on constitutional and speedy trial grounds that Ms. Zimmer and
- 12 I are working on now.
- 13 JUDGE POOLER: So that's pending a motion to dismiss
- 14 is pending now before --
- 15 MR. KLEIN: We are drawing it up. But, again, it's
- been a moving target in terms of what evidence is there and
- 17 what evidence isn't there.
- 18 JUDGE POOLER: What did you suppress? What did the
- 19 judge suppress?
- 20 MR. KLEIN: There's a videotaped statement that
- 21 followed Mr. Rivas on video saying, I was told I should have a
- 22 lawyer here. Is it okay if I have a lawyer here? And they
- 23 went ahead for another five or six hours.
- 24 JUDGE SACK: When was this? This was immediately
- 25 after his arrest?

- 1 MR. KLEIN: It was a week later. The one immediately
- 2 after was allowed.
- 3 JUDGE SACK: Okay. But I want to get general time.
- 4 JUDGE POOLER: That was not suppressed in the first
- 5 trial?
- 6 MR. KLEIN: That was suppressed at the first trial.
- JUDGE POOLER: It was.
- 8 MR. KLEIN: And the torn note in the wastebasket,
- 9 which we factually contest the legitimacy of that, was also
- 10 ordered suppressed as beyond the scope of the search warrant.
- 11 JUDGE POOLER: So you're trying to be in exactly the
- 12 position that the first lawyer was in terms of what's allowed
- 13 as evidence?
- 14 MR. KLEIN: I'm okay with those rulings in terms of
- 15 the evidence; but in terms of trying the case, it's a
- 16 nightmare.
- JUDGE CABRANES: Mr. Klein, I get the impression --
- 18 perhaps my colleagues also have the impression -- that you
- 19 really can't undertake this important motion practice until
- 20 certain things are done by the state. Is that -- by the DA's
- 21 office.
- 22 MR. KLEIN: We're almost there, and we committed to a
- 23 motion date with Judge Miller.
- 24 JUDGE CABRANES: What's between "there" and "almost
- 25 there"?

- 1 MR. KLEIN: The ground shifting under my feet when I
- 2 get a call from Mr. Moran on a Saturday afternoon telling me
- 3 there are over 100 unknown latent prints; and that they lost
- 4 the victim's postmortem prints. I'd asked him about print
- 5 lifts and print photographs a couple of months ago because we
- 6 hadn't seen them; and he told me they can't find them.
- 7 JUDGE SACK: You don't know because you don't know
- 8 what's going to happen tomorrow.
- 9 MR. KLEIN: No, I don't.
- JUDGE CABRANES: Mr. Moran, can you tell us when you
- 11 can get everything over to Mr. Klein?
- 12 MR. MORAN: Mr. Klein has everything I have. I don't
- 13 hold out any hope that I'm going to find her postmortem prints.
- JUDGE CABRANES: Do you have any hope of ever getting
- any reports from any other state or local agency which will be
- 16 relevant to Mr. Klein?
- MR. MORAN: You mean the testing?
- JUDGE CABRANES: Yes.
- 19 MR. MORAN: Yes, there was one report that was
- 20 finished yesterday that I'll give Mr. Klein. I told him about
- 21 it this morning. I'll give it to him tomorrow.
- JUDGE CABRANES: What else?
- 23 MR. MORAN: I think there's some more latent print
- 24 testing still ongoing. The DNA reports haven't been --
- 25 JUDGE CABRANES: When can you get that finished?

- 1 MR. MORAN: Judge, I got to talk to the lab. I don't
- 2 want to commit them to that, but I would think a month.
- 3 JUDGE SACK: Is there anything other than -- I mean
- 4 we're talking about latent prints. DNA came up, that's also --
- 5 we're waiting on that too?
- 6 MR. MORAN: Yes.
- JUDGE SACK: Anything else?
- 8 MR. MORAN: No.
- 9 And, Judge, if I may, all of this testing, every time
- 10 we find new results, all comes back to Hector Rivas. And I
- 11 know I've said this once, that we have the prints on the
- 12 marijuana canisters that are a few feet from the body. I know
- 13 the Court will recall that at the original trial there was this
- 14 issue of this library book that was returned. His fingerprints
- 15 are on the book.
- 16 We're making progress. We're doing the best we can.
- 17 I will call the lab tomorrow and expedite everything and tell
- 18 them it has to be done ASAP.
- 19 One of the ironies of this case is that they have more
- than I do. It is 20 years of foiled requests. And we're on
- 21 the hook for this, because so many hands have been in these
- 22 boxes and moved things around, they didn't get back to the
- 23 right place.
- 24 JUDGE SACK: Mr. Manes, I'm dying to know what's
- 25 upsetting you at the moment.

- 1 MR. MANES: As I remember, your Honor, this library
- 2 book 30 years ago had no -- did not have a print. This is new.
- 3 MR. LANGONE: They found the book.
- 4 MR. MANES: This is absolutely new.
- 5 JUDGE POOLER: Speak into the microphone.
- 6 MR. MANES: I beg your pardon, Judge Pooler.
- 7 I do not know of any such print on a library book.
- 8 And I know about the library book, it was in the back of her
- 9 car and it went back to the library. And they never found a
- 10 print on it. This is, to me, totally new.
- 11 JUDGE POOLER: Was that part of the record below, to
- 12 the best of your knowledge, counsel?
- MR. MORAN: Judge, this is brand-new.
- MR. MANES: Brand-new.
- 15 JUDGE POOLER: How can it be brand-new? Could you
- 16 explain that to us?
- 17 MR. MORAN: It's not actually that unusual, when the
- 18 new lab goes back and looks at old evidence with better
- 19 technology and better techniques.
- JUDGE POOLER: You kept the book?
- MR. MORAN: Yes, we still have the book.
- 22 JUDGE POOLER: But what you don't have is Valerie
- 23 Hill's fingerprints, so you can't get her resolved there, can
- 24 you?
- MR. MORAN: Correct.

- 1 JUDGE CABRANES: What about the chain of evidence with
- 2 respect to this book?
- 3 MR. MORAN: That will be an issue, but it's been in
- 4 our custody since the trial.
- 5 MR. MANES: Like the diary?
- 6 JUDGE POOLER: This is the first time you're telling
- 7 the defense team that Hector Rivas's prints were on that book?
- 8 MR. MORAN: The defense team doesn't include
- 9 Mr. Manes; it includes Mr. Klein and --
- 10 JUDGE POOLER: Is this the first time --
- MR. MORAN: No, it's not.
- 12 JUDGE POOLER: -- Mr. Klein heard?
- 13 MR. MORAN: Yes, he heard as soon as I did. I don't
- 14 want to mischaracterize it, but two or three weeks ago, I
- 15 think.
- MR. KLEIN: Less than that.
- 17 JUDGE CABRANES: Mr. Klein, let me come at this
- 18 another way: If, as represented, Mr. Moran is able to get
- 19 everything that you need -- I guess we should actually know
- 20 what it is you need.
- JUDGE SACK: I guess you don't know what it is because
- you don't know what's out there is part of the problem.
- MR. KLEIN: I asked for things and he tells me they
- 24 exist or we can't find them.
- The issue with the marijuana pipes is an example. I

- 1 first learned the significance of the marijuana pipes and the
- 2 film canisters, so I'm probably responsible for having them
- 3 continuing to be tested for sure. But I first learned of their
- 4 significance when I saw a video of the scene which was provided
- 5 to me in September.
- 6 You laid out the procedural history. I was assigned
- 7 in May. I immediately asked Mr. Moran for the scene video,
- 8 scene photographs, autopsy photographs, everything. I was told
- 9 they were digitalizing the scene video. It was the old VCR, on
- 10 the old VCR/VHS type format. And they were putting on digital.
- 11 And I continued to ask him for it as I ran into him or I would
- 12 call him or text him, however, asking, you know, When are you
- 13 going to have that?
- 14 Finally in September it was provided to us, at the
- 15 same time that all the physical evidence was provided to us.
- 16 And that was in seven or eight boxes at the DA's office.
- 17 And we went through that; we noted things that were
- 18 missing; and said, Where are these things? Among those were
- 19 the marijuana pipes. They were not in the physical evidence
- 20 that was at the DA's office. He told me at that point some of
- 21 the things are at the lab. So we waited for a lab report,
- 22 which I think came within a month. And it only referred to one
- 23 marijuana pipe.
- In the meantime, I had looked at the scene video. Two
- 25 marijuana pipes were on the end table by the sofa in the living

- 1 room. Her body was prone in the living room by a sofa with an
- 2 end table. The two marijuana pipes and the film canisters were
- 3 in the video, and also you can see them in photographs. It
- 4 appeared to me to be two marijuana pipes.
- 5 When I asked Mr. Moran about I looked at the lab
- 6 report, it only referred to one marijuana pipe, he spent
- 7 several weeks asking me, Are you sure that you see two
- 8 marijuana pipes in the video? I only see one or we only have
- 9 one. And that's where the thing with the missing marijuana
- 10 pipe came into play. As it turns out, it was at the lab all
- 11 along. I didn't learn that until informed by Mr. Moran in
- 12 December. December.
- 13 So both of them needed to be tested for DNA, because
- 14 there's an affidavit from Val's best friend in that 370 pages I
- 15 told you about that Hector didn't smoke marijuana. She
- 16 identified one of the pipes as Val's and said, I don't know
- 17 whose that one was, the other one, whichever one it was. And
- 18 Hector didn't smoke marijuana. She's the one who said, Hector
- 19 did not smoke marijuana; you'll have to -- and they asked her
- 20 about where she got her marijuana and said, I'm not sure;
- 21 you'll have to ask her brother David.
- 22 So I had to have those tested and asked that at that
- 23 point. I asked in November or December, whenever I was aware
- that the lab had the marijuana pipes. And I guess I learned
- 25 today that every time I've had to adjourn the trial because I

- 1 haven't been ready because I don't have all the evidence I
- 2 need, that those aren't getting tested; they are getting put on
- 3 a lower shelf so that I will never be -- I can't answer your
- 4 question until I have the things. I don't have the fingerprint
- 5 reports he's told me verbally about. I'm not going to commit
- 6 to something without having seen it.
- 7 MR. MORAN: I don't understand why the absence of lab
- 8 reports prevents a constitutional speedy trial argument. He's
- 9 got all the discovery, he's got all the affidavits, they've got
- 10 all the information and had it through Mr. Manes for 20 years.
- 11 Now, the lab reports are important; I'll get them done. They
- 12 will be done for trial. How does that prevent a constitutional
- 13 speedy trial argument or a motion that we've been waiting for
- 14 for a year?
- 15 JUDGE CABRANES: Mr. Klein?
- 16 MR. KLEIN: Well, the constitutional speedy trial
- 17 motion is based on loss of evidence and loss of witnesses,
- 18 either through death or unavailability, because they're out of
- 19 state. Dr. Mitchell will be a prime example of being out of
- 20 state. My understanding is that he's now practicing in Kansas
- 21 somewhere.
- 22 There are Sixth Amendment confrontation issues. This
- 23 is the first I've had it confirmed that Dr. Stoppacher will be
- the one testifying, although I assume that will be the case;
- 25 and there's a significant confrontation issue and him relying

- 1 on the opinion of someone who is potentially available to the
- 2 state and they are not calling.
- 3 JUDGE CABRANES: Are you unable to file any of these
- 4 motions?
- 5 MR. KLEIN: No.
- 6 JUDGE CABRANES: So why haven't you filed them?
- 7 MR. KLEIN: They would have been incomplete if I had
- 8 filed them any sooner. We are at the stage we're at now and I
- 9 said we're pretty much there. I'm not going to wait any
- 10 longer; I'm committed to keeping to the motion schedule we have
- 11 now.
- 12 JUDGE CABRANES: I recognize that.
- 13 MR. KLEIN: I bet you though there will be things that
- 14 I'll learn are missing, because that's just been the nature of
- 15 this case.
- 16 JUDGE CABRANES: I'm mindful that you're Mr. Rivas's
- 17 counsel in the state proceedings and Mr. Langone is his counsel
- in the federal proceedings.
- 19 Do you have any reason to believe that Mr. Rivas
- 20 cannot be released pending trial for any reason? That is, is
- 21 he a risk of flight or a danger to the community?
- 22 MR. KLEIN: No, I don't think so. He was released
- 23 pending the first trial and made his appearances until the end
- 24 when Mr. Calle pulled a stunt, resulting in him being taken
- 25 into custody. But otherwise he was released by Judge Mulroy on

- 1 a five-year-old case on a bail that could be made at that point
- 2 and he made his court appearances.
- 3 JUDGE CABRANES: Do you know anything about his record
- 4 during the period of imprisonment? Does he have any record of
- 5 infractions or difficulties with the authorities within the
- 6 prison system?
- 7 MR. KLEIN: Mr. Langone just made a representation
- 8 that his prison history has been exemplary. I don't have
- 9 personal knowledge.
- 10 MR. LANGONE: Your Honor, there's an attorney in this
- 11 audience, his name is Mr. O'Brien. I don't know if he's
- 12 admitted before this Court, but he's admitted in the State of
- 13 New York.
- JUDGE CABRANES: Is he here?
- MR. LANGONE: Yes.
- Mr. O'Brien, could you stand up?
- He's also a counsellor, a chaplain. He's known Hector
- 18 at Sing Sing for many, many years.
- 19 JUDGE CABRANES: Can we find him a seat? Microphone.
- 20 Mr. O'Brien, would you state your appearance for the
- 21 record; your full name, address, and other particulars.
- MR. O'BRIEN: Yes, your Honor.
- 23 Name is Joseph J. O'Brien, Jr., 316 North Highland
- 24 Avenue in Upper Nyack, New York is my residence. I'm admitted
- 25 to practice in the courts of the State of New York. I'm also

- 1 admitted to practice in the federal court for New Jersey,
- 2 federal district court for New Jersey, your Honor. I practice
- 3 law as an arbitrator at present.
- 4 JUDGE CABRANES: What is your connection to Mr. Rivas
- 5 or his confinement?
- 6 MR. O'BRIEN: Your Honor, I have known Hector Rivas
- 7 for at least 12 years as a volunteer in the Catholic chapel at
- 8 Sing Sing Correctional Facility. I go there every Wednesday
- 9 evening and have seen him many, many, many times over the
- 10 course of 12 years.
- 11 We have had numerous discussions about his religious
- 12 faith, about his personal life, and about his conduct in
- 13 prison. I have never heard of any instance where he was in
- 14 trouble at Sing Sing during the time I've known him. I know
- 15 that he has been a blessing to the men with whom he works in
- 16 the chapel.
- 17 He is simply a man of great worth.
- 18 He is a licensed plumber. And as part of my interest
- in what he might do, were he to be released, I spoke with a
- 20 representative of the plumbers' union in the City of New York
- 21 last week regarding training that would update his skills so he
- 22 could get employment. And they informed me that they would
- 23 want to interview him and have training available for him were
- 24 he to be released. In that regard, he would need access to a
- 25 union facility in Queens County.

1 Additionally -- and this is strictly word-of-mouth --2 I know that he worked with plumbers who would come into the 3 facility, he would work as a helper for them. And on 4 information and belief, one of the plumbers who was under 5 contract to the state to do work at Sing Sing told him that 6 when he gets out, he would have a job for him. That, of 7 course, is prospective and nothing more than the words I just 8 spoke. 9 But that's my experience with him. 10 JUDGE POOLER: Ms. Steward, do you know of any infractions or other problems that Mr. Rivas has had during his 11 incarceration? 12 MS. STEWARD: In Sing Sing? 13 14 I'm not aware of that, your Honor. 15 JUDGE CABRANES: Well, perhaps we can do this: 16 Ms. Steward, if you could provide the Court with a report from the relevant state authorities reporting on Mr. Rivas's 17 disciplinary or related records during his time of confinement, 18 19 and they can add whatever characterizations they think 20 appropriate, but we obviously would like it in the form of facts and in the form of a sworn statement, an affidavit. We 21 22 would ask you to submit that by next week. 23 Is next Wednesday all right, you think?

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JUDGE CABRANES: Fine. Until Friday, April 15, 2016,

MS. STEWARD: Can I get Friday?

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- 1 at 12 noon.
- JUDGE POOLER: Copies to all parties.
- 3 JUDGE CABRANES: Copies to all of the persons here.
- 4 It is so-ordered.
- 5 Hold on one second.
- 6 Judge Sack has suggested to me at sidebar -- and I
- 7 think he's quite right -- that when we conclude here we're
- 8 going to ask the official court reporter to prepare a
- 9 transcript of these proceedings and make them available to the
- 10 state court trial judge for his information and to all of the
- 11 parties here. Now, that seems like a very good idea and that
- is also so-ordered.
- 13 And I don't know how long it would take to prepare
- 14 such a transcript.
- 15 The court reporter indicates that it's possible within
- 16 a week.
- 17 But we have some more questions that may be helpful to
- 18 us in this regard.
- 19 What we're looking for from Ms. Steward and anybody
- 20 else who's here is some indication of whether Mr. Rivas -- were
- 21 he to be released -- would be a danger to the community or --
- 22 go ahead.
- JUDGE SACK: I'm just trying to figure out whether
- 24 we're applying the federal or the state bar. And I take it the
- 25 state is only flight risk, right?

- 1 MR. MORAN: The state does not include danger to the
- 2 community.
- 3 JUDGE SACK: Is there anything else other than flight
- 4 risk that you know of?
- 5 MR. MORAN: Everything speaks to flight risk, meaning
- 6 ties to community, criminal history, that kind of stuff,
- 7 strength of the case.
- 8 JUDGE SACK: And strength of the case.
- 9 JUDGE CABRANES: Is there anything else that any of
- 10 you think is appropriate under the circumstances while we wait
- 11 for these filings?
- 12 MS. STEWARD: Your Honor, I understand at the outset
- of this you were giving a rundown of all the things that
- 14 happened. I just wanted to note that maybe it was an
- 15 oversight, but I just want to make clear on the record that I
- 16 did respond. And I want to make sure that you actually have
- 17 received the papers that we have submitted.
- 18 JUDGE CABRANES: Yes. Absolutely. You're referring
- 19 to your March 23, 2016 letter?
- MS. STEWARD: March 23, October 29th, and November
- 21 5th.
- JUDGE CABRANES: Yes, I'm sure we've got it.
- 23 MS. STEWARD: And there was another one on January
- 24 28th.
- 25 JUDGE CABRANES: We'll check that. And if there's any

- 1 reason to doubt that we've got it -- of course, we can all
- 2 check the electronic records of the Court, and you would have a
- 3 quick answer to your question. If you find that any one of
- 4 them is not reflected in the electronic record, we hope you'll
- 5 be in touch with us by a letter, with copies to all other
- 6 counsel.
- 7 JUDGE POOLER: There's a docket sheet that can be
- 8 checked.
- 9 JUDGE CABRANES: Sure. You check the docket sheet.
- 10 JUDGE SACK: There's absolutely no question that we
- 11 had received, each of us, copies of what you had supplied to us
- 12 previous.
- 13 JUDGE CABRANES: So do we have a date by which
- 14 Mr. Moran believes he is able to place Mr. Klein in a position
- to evaluate what motions to bring or not bring?
- 16 I think we are agreed that the first chess move, the
- 17 first move on the chessboard has to be that of Mr. Moran. So
- 18 we want to know when you're going to make that move.
- 19 JUDGE SACK: May I -- just a little addition to it.
- 20 I gather your answer is going to be, I can't tell
- 21 until I talk to the people at the laboratory. And maybe the
- 22 question is when will you talk to the people in the laboratory
- and let everybody know what the answer is?
- 24 MR. MORAN: I will talk to the lab tomorrow and I'll
- 25 send a letter to everyone letting them know. And I will

- 1 expedite it.
- 2 JUDGE CABRANES: Can you send us a letter immediately
- 3 after you speak to them --
- 4 MR. MORAN: I will do that.
- 5 JUDGE CABRANES: -- fixing a firm date by which time
- 6 you will be giving Mr. Klein everything in your possession
- 7 which you believe to be relevant? You can identify things that
- 8 you will not turn over to him as well.
- 9 MR. MORAN: Just I can maybe clarify right now, Judge.
- 10 I don't have anything that he doesn't have. I have
- 11 given him a copy of every scrap of paper, every report, every
- 12 affidavit, every transcript, every police report, every lab
- 13 report. The only things that aren't done are the modern lab
- 14 reports, the ones that are still pending at the lab. I have
- 15 given him the ones that are done; there's nothing that I have
- 16 that he doesn't have.
- JUDGE CABRANES: All right.
- 18 Today is Wednesday. By Friday, April 8th at 12 noon,
- 19 you will get to us electronically and otherwise copies to
- 20 everybody here, a letter telling us what you were able to tell
- 21 us as a result of your inquiries with these various expert
- 22 persons.
- 23 MR. MORAN: One of my concerns is am I going to be
- 24 precluded from continuing to work or continuing to look at
- 25 things? Because, generally speaking, I'm -- well, I may decide

- 1 I want something else tested. I may decide that something else
- 2 is going to go to the lab next week. I don't think I'm going
- 3 to do that, but, again, this goes back to my question --
- 4 JUDGE CABRANES: Why would you think -- if you said
- 5 you've given them everything and you are "ready for trial," why
- 6 would something else be surfacing 30 years later?
- 7 MR. MORAN: I'm continuing to prepare for trial all
- 8 the time.
- 9 JUDGE CABRANES: That's fine.
- 10 MR. MORAN: I'm not going to stop.
- 11 JUDGE CABRANES: For the trial that you're ready for.
- MR. MORAN: Yes.
- 13 JUDGE CABRANES: You continue to prepare for the trial
- 14 for which you are ready.
- MR. MORAN: Yes.
- 16 MR. LANGONE: Your Honor, if Mr. Rivas is released,
- 17 I'm getting a sense of compression that this is going to be a
- 18 kind of rush to judgment. If Hector's released, I think this
- 19 kind of obviates some of that.
- 20 JUDGE SACK: I thought it was exactly the opposite. I
- 21 thought that the notion was that if there was some way to
- 22 achieve a release that's consistent with everybody's interest,
- 23 that that would relieve the pressure.
- MR. LANGONE: Yes, that's what I mean.
- 25 JUDGE SACK: I think you made that point already.

- 1 MR. LANGONE: I'm sorry.
- JUDGE SACK: Or somebody did.
- JUDGE CABRANES: Is Mr. O'Brien available as part of
- 4 some arrangement for release to help Mr. Rivas in consultation
- 5 perhaps with probation authorities?
- 6 Mr. O'Brien, it seems to me that if Mr. Rivas is
- 7 released on bail pending trial, and if, in fact, he's able to
- 8 go home to the Bronx, that it should fall under the
- 9 jurisdiction of the Southern District of New York. Perhaps we
- 10 can inquire of the probation office of the Southern District of
- 11 New York to facilitate or to serve as the probation office to,
- in turn, report as necessary to the Onondaga County Court.
- 13 Does that seem reasonable to you? Would you be able
- 14 to work with the probation office on his behalf?
- 15 MR. O'BRIEN: Your Honor, I'd be happy to do that. My
- 16 interest has been not as a lawyer, but as a volunteer in a
- 17 Catholic chapel.
- JUDGE CABRANES: We understand that.
- MR. O'BRIEN: In that regard I would be pleased and
- 20 certainly willing to do whatever the Court would require.
- JUDGE POOLER: Thank you.
- JUDGE CABRANES: Thank you very much.
- Does anyone have any questions of Mr. O'Brien or
- 24 inquire about how he might be of assistance? Anyone else?
- 25 Does anybody else on this panel have any questions for

- 1 the Court or for other members, other persons here?
- 2 Mr. Langone, do you have any questions for Mr. Moran
- 3 or for Mr. Klein?
- 4 MR. LANGONE: No, I don't, Judge. I don't.
- 5 JUDGE CABRANES: Mr. Klein, do you have any questions
- 6 for Ms. Steward or Mr. Moran? Any clarifications required?
- 7 MR. KLEIN: No, your Honor. Thank you.
- 8 JUDGE CABRANES: Mr. Moran, do you have any questions
- 9 of anyone else in this group --
- MR. MORAN: No.
- 11 JUDGE CABRANES: -- which would be helpful to you?
- MR. MORAN: No, thank you, Judge.
- 13 JUDGE CABRANES: All right.
- MR. LANGONE: Are we coming back here, Judge?
- 15 JUDGE CABRANES: We're not interested in prolonging
- 16 this. As indicated in my opening statement, we're simply
- 17 following through on the mandate that we issued and which may
- have to be recalled as a matter of form. But in that decision,
- 19 we indicated quite specifically that if there are any questions
- 20 regarding our mandate, that they can be brought to our
- 21 attention, which is exactly, of course, what Mr. Langone and
- 22 Mr. Manes have done on several occasions. That is why we are
- 23 here. So we are here pursuant to the original mandate and
- 24 according to the terms of the mandate.
- Now, as a formal matter, we may have to actually

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- 1 recall the mandate in order to issue further orders. But we
- 2 would welcome your advice on how this might work.
- 3 Mr. Moran, maybe particularly from you, any guidance
- 4 that you might be able to give us in the form of a letter at
- 5 your earliest convenience as to how we might accomplish this.
- 6 MR. MORAN: Understood.
- 7 JUDGE CABRANES: Understood?
- 8 MR. MORAN: Yes.
- 9 JUDGE CABRANES: Thank you.
- 10 And what else? Anyone else have any questions?
- 11 Comments?

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- 12 Hearing none --
- 13 MS. STEWARD: Your Honor, I just need to preserve for
- 14 the record the arguments that we've made in our papers, that
- 15 the Court should not recall its mandate. And that even if it
- 16 felt that it was appropriate to do so, that because
- 17 petitioner's conviction has been vacated, his record of the
- 18 conviction has been expunged and he has been released from
- 19 custody, there is no longer any jurisdiction under this
- 20 proceeding with regard to granting him any further relief.
- JUDGE POOLER: The writ that we issued was
- 22 conditional.
- MS. STEWARD: It was, but once --
- 24 JUDGE POOLER: That's the source of our jurisdiction.
- 25 MS. STEWARD: Once the conviction is vacated, the

- 1 conditions that you set were the conditions on his release from
- 2 the judgment that the Court found to be unconstitutional. The
- 3 unconstitutional judgment here is no more. It is gone. There
- 4 is no record of him having been convicted of this crime.
- 5 JUDGE POOLER: Correct. But the conditions of the
- 6 writ have not been fulfilled.
- 7 MS. STEWARD: But the conditions were the conditions
- 8 on his release. They were the conditions on the vacatur that
- 9 he actually got. Whether or not the conditions were met no
- 10 longer matters because there is no conviction.
- 11 JUDGE CABRANES: No, I'm afraid not, Ms. Steward.
- 12 It's very nice of you to supplement the record, but I think I
- 13 can speak for my colleagues in saying that your statement just
- 14 now is simply inaccurate.
- 15 Our mandate specifically spoke of the release of
- 16 Mr. Rivas if the state had not taken concrete and substantial
- 17 steps expeditiously to retry Mr. Rivas. We are here to
- 18 determine whether or not the state has, in fact, taken concrete
- 19 and substantial steps expeditiously to retry Mr. Rivas.
- 20 I think I can speak for my colleagues in saying that
- 21 that is a challenging question at least. We are not prepared
- 22 to make any final decision on that, but, I must say, we would
- 23 not be here unless we had serious questions as to whether that
- 24 mandate, the conditions set forth in that mandate, had been
- 25 fulfilled. We are adjourned.